



## QUARTER LY REPORT [2015]

United Nations Development Programme, Cambodia

Scaling up Climate Change into Sub-national Planning and Budgeting Process (**SNC Scale-up**)

[01-01-2015 – 31-03-2015]



*New pond contracted in Thmey Commune, Kulen District, Preah Vihear Province, April 2015 (Photo by: Sorn Sunsopheak)*

<b>Project ID:</b>	00087788
<b>Duration:</b>	8 Months Project Extension
<b>Total Budget:</b>	US\$124,437.00
<b>Implementing Partners/Responsible parties:</b>	National Committee for Sub-national Democratic Development Secretariat
<b>Country Programme Outcome:</b>	By 2015, national and local authorities, communities and private sector are better able to sustainably manage ecosystems goods and services and respond to climate change.

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## I. Executive summary

- In 2014, NCDDS has made a significant progress lately with the implementation of SNC Scale-up project, only 14% of overall activities are not completed on time due to late project start-up and other unexpected factors. The investment fund had been transferred to the commune accounts, and climate change resilient projects have been identified and selected. The Sub-National administration is focusing on project monitoring to ensure the project intended outputs. To ensure the SNC Scale-up project reaches the agreed outputs, an eight months project extension is needed. For the period of project extension from 1st January 2015 to 31st August 2015, SNC Scale-up project would produce below outputs: 1). Impacts of climate change adaptation investment projects in Battam Bong, Takeo, and Preah Vihear province identified and documented; 2). Operational guideline and training manual on Climate change mainstreaming into sub-national planning aligned with new draft of sub-national planning policy, which is endorsed by Royal Government of Cambodia on October 2014 and disseminated to relevant stakeholders; 3). Follow up the project impact through field visits; and 4). Advocacy video on climate change mainstreaming into sub-national planning produced.
- SNC scale-up supported to 17 infrastructure and 15 non-infrastructure commune investment project. There are 15 infrastructure and 15 non-infrastructure projects are completed. The bridge construction project in Kampong Reap commune has been delayed due to harvest season, which is not possible to block the canal to construct the bridge. Until now the project proximately 60% completed. A canal restoration project in Trapeng Thom Khang Choeung progressing 80% completed, unfortunately the contractor move out without any informed to commune council.
- After district bidding workshop 6 commune projects (2 in Takeo Province and 4 in Preah Vihear Province), the bidding budget remaining lower than estimated. That would allow commune extend the project and/or invest to new project in 2015. Commune council, District and Provincial administration immediately examine on the after bidding budget. The decision has made, 3 commune project will be extend the previous project to be more resilience and efficiency and other 3 will be invested on new project in 2015. So that is increasing the number of infrastructure project supported by SNC scale-up from 17 to 20.
- Internal review on the draft of training manual on mainstreaming climate change and disaster management has been conducted. The latest version of the manual ready bring in the book template. However, the full version of manual would be done while the VRA manual ready translated.
- Advocacy video production's Term of Reference has been revised to advocacy animation production due to most of climate change project had been produced significant video indifference views of climate adaptation. SNC Scale-up adopts new idea to be more effective in advocate all decision maker of sub-national authority to integrate climate adaptation and disaster management into their planning and budgeting. Early of the year animation production had announced.
- An international study visit of Core Group on establish climate change mainstreaming guideline to Bhutan will be held on April 20, 2015. The visit would bring new idea of mainstreaming climate change into government plan for delegates.

## II. IMPLEMENTATION PROGRESS

### PROGRESS TOWARDS PROJECT OUTPUT

<b>OUTPUT 1: Increased the support and ownership of sub-national administrations on the integration of climate change into district and commune investment programmes.</b>			
<b>Output Indicators</b>	<i>Baseline</i>	<i>Target ( December 2014)</i>	<i>Current status (2015)</i>
● Number of communes and districts integrate climate change into their investment programmes	● 0 district and 132 Communes	● 49 new communes and 5 districts completed Vulnerability Reduction Assessment (VRA) to inform the development of the commune and district investment programmes	● 18 communes of 5 districts have been conducted VRA. Due to time constrain and inaccessible road. Project team decided to select random sample commune to conduct VRA. The selection depending on geographical and natural disaster.
● Number of the target communes and districts have implemented climate sensitive investment programmes	● 0 communes and 0 districts in the target areas have implemented climate sensitive investment programme	● Commune councils, district administration and relevant stakeholders in the 49 communes and 5 district are aware of challenges and opportunities of scaling up the integration of climate change into commune and district investment programmes	<ul style="list-style-type: none"> <li>● 49 commune and 5 district integrated climate change into district strategic plan.</li> <li>● 17 infrastructure and 15 non-infrastructure commune investment project has been prioritized.</li> <li>● 15 infrastructure and 15 non-infrastructure are completed</li> <li>● 6 new commune investment project (3 is add on the previous project) has been identify needs to implement in 2015</li> </ul>
● Percentage of female officials in the target areas becomes training of trainers for VRA and facilitators of integrated climate change investment programme.	● 0	● 25 people (at least 30% are women) representing Planning and Commune/Sangkat Support Office and provincial key line departments became training of trainers for VRA and facilitators of integrated climate change investment	● 130 people (13 women) of commune district province and NCDDDS officer participated in Training of Trainer on mainstreaming climate change and disaster risk management into sub-national planning and its tool to mainstreaming. Comparing result to target, the number of participant is over expected but on the other hand the number women is not archive 30% as expected.

<ul style="list-style-type: none"> <li>● Number of women-headed households or women benefited from funded investment activities by selected target communes and districts</li> </ul>	<ul style="list-style-type: none"> <li>● 0</li> </ul>	<p>programme.</p> <ul style="list-style-type: none"> <li>● Coordination platform set up and maintained for coordination and information sharing until and beyond the end of 2014</li> <li>● Local authorities endorsed the commune and district investment programmes, out of which at least 50% of the programmes receive commitment to support the implementation of the programmes.</li> <li>● Investment activities following the priorities identified in the selected commune and district investment programmes.</li> </ul>	<ul style="list-style-type: none"> <li>● 32 commune a mong 49 commune investment project received fund transferred into commune account through national treasury.</li> <li>● 3 Technical Service Contractor has been recruit and conducted commune investment project study.</li> <li>● 249,454 farmers in 5 districts (129,108 women) received benefited directly and indirectly from commune investment project.</li> <li>● Provincial technical officer conduct commune investment project study in 6 new project.</li> </ul>
	<ul style="list-style-type: none"> <li>● 0</li> </ul>	<ul style="list-style-type: none"> <li>● Good practices and lessons learned of the mainstreaming process and local adaptation options documented, published and shared to relevant stakeholders</li> </ul>	<ul style="list-style-type: none"> <li>● A sharing experience workshop on climate change adaption at sub-national level has been conducted for management of 3 target provinces, 5 target districts and relevant stakeholders.</li> <li>● Animation video production re-advertised</li> </ul>
<b>Cumulative expenditure:</b>	<b>USD</b>		
<ul style="list-style-type: none"> <li>● The number of beneficiary would be increase due to the number of project is increasing from 32 to 35.</li> </ul>			
<input type="checkbox"/> delivery exceeds plan	<input type="checkbox"/> delivery in line with plan	<input checked="" type="checkbox"/> delivery below plan	
<b>OUTPUT 2: Enhanced ownership and readiness of NCDD-S for the implementation of the operational guideline to scale up the integration of climate change adaptations into sub-national planning process.</b>			
<b>Activity Indicators</b>	<i>Baseline</i>	<i>Target ( December 2014)</i>	<i>Current status ( 2015)</i>
<ul style="list-style-type: none"> <li>● Development of climate change mainstreaming operational guideline</li> </ul>	None	<ul style="list-style-type: none"> <li>● A multi-disciplinary task force for climate change mainstreaming into</li> </ul>	<ul style="list-style-type: none"> <li>● SNC Scale-up and ACCESS join board meeting was conducted. Draft ToR of the core group has been</li> </ul>

<p>and practical tools for sub-national planning process</p>		<p>sub-national planning process created with clear roles and responsibilities to develop the operational guideline on mainstreaming climate change.</p>	<p>developed. Invitation letter has been sending out to concerned government institution, sub-national government, and Development partners.</p> <ul style="list-style-type: none"> <li>• Core Group on developing climate change mainstreaming guideline has been formed and functioned.</li> <li>• Consultation on operational guideline has been conducted with relevant stakeholder, sub-national government, Local NGO, and Development partner.</li> <li>• Core Group member conducting field visit to community base on climate change adaption and environmental protection project implementing in Kampong Cham, Prey Veng, Preah Vihear, Battambang, and Siam Reap province.</li> <li>• Delegate of Core Group member will conduct study visit to Bhutan on 18 to 25 of April 2015 as planned</li> </ul>
<ul style="list-style-type: none"> <li>• Readiness Plan and costing to implement the operational guideline developed and endorsed by the government</li> </ul>	<p>None</p>	<ul style="list-style-type: none"> <li>• Technical manuals on local climate adaptation options published</li> <li>• A national readiness plan to implement the guideline finalised.</li> <li>• Costing and resources mobilization made to coordinate and implement the readiness plan to scale up further into the D&amp;D system</li> <li>• At least 25 relevant officials from NCDD-S receive trainings on basics of</li> </ul>	<ul style="list-style-type: none"> <li>• A short term international consultant has recruited and contracted with UNDP to develop an operational guideline.</li> <li>• Consultation on operational guideline has been conducted with relevant stakeholder, sub-national government, Local NGO, and Development partner.</li> <li>• An operational guide line has been produced and endorse by Core Group.</li> <li>• And a short term national Capacity Development is now in the selecting process.</li> <li>• A capacity building manual has been produced and tasting.</li> <li>• Training on climate change mainstreaming manual</li> </ul>

		<p>climate change and relevant tools.</p> <ul style="list-style-type: none"> <li>• At least 50 officials from the 3 provincial administrations (including the planning and investment division and at least 30% are women) trained to become training of trainers on the use of tools</li> <li>• At least 200 commune councillors, commune clerks and district councillors/governors (with at least 30% are women) have been trained on the basic concepts of climate change and the use of tools</li> </ul>	<p>conducted in 3 provinces.</p> <ul style="list-style-type: none"> <li>• Decimation workshop has been conducted for sub-national administrative.</li> <li>• 35 people (4 women) of NCDDS and provincial official receive training on tool to mainstreaming climate change into subnational planning process.</li> <li>• 210 people (18 women) of commune and district councillor and governor joined two difference workshop on community base adaptation on climate change and tools to mainstreaming climate change into sub-national planning process.</li> </ul>
<b>Cumulative expenditure:</b>	<b>USD</b>		
•			
<input type="checkbox"/> Delivery exceeds plan	<input type="checkbox"/> delivery <i>in line with plan</i>	<input checked="" type="checkbox"/> delivery <i>below plan</i>	
<b>OUTPUT 3: Project management</b>			
<b>Activity Indicators</b>	<i>Baseline</i>	<i>Target (December 2014)</i>	<i>Current status (31 December 2014)</i>
None	None	None	<ul style="list-style-type: none"> <li>• 1 national project officer has been recruited and signed contract with NCDDS.</li> <li>• 3 sub-national project officers have been recruited and signed contract with provincial government.</li> <li>• A spot check had been conducted by UNDP to some project site of SNC Scale-up in 3 provinces.</li> <li>• NCDDS regularly conducted filed monitoring.</li> </ul>
<b>Cumulative expenditure:</b>	<b>USD</b>		

<ul style="list-style-type: none"> <li>• 3 sub-national project officer contract will be end in April 2015.</li> <li>• 1 national project officer contract will be end in June 2015.</li> </ul>		
<input type="checkbox"/> Delivery exceeds plan	<input type="checkbox"/> delivery <i>in line with plan</i>	<input checked="" type="checkbox"/> delivery <i>below plan</i>

## PROGRESS TOWARDS COUNTRY PROGRAMME (CPAP) OUTPUT

<b>OUTPUT 2.3: A national strategy, programme, and financing mechanism established for cohesive climate change response at national, sub-national, and community levels.</b>		
<b>Output Indicators</b>	<i>Baseline</i>	<i>Current status (December 2014)</i>
● No. of climate sensitive sectors with strengthened adaptive capacity	16 communes have developed a gender sensitive sub-national development plans.	As of October, VRA have been carried out in 18 new target communes in Prey Kabas, Tramkak, Kulen, Chomkshan, and Ek Phnom districts.
● No. of flood and/or drought prone communes applying climate resilient farming methods	3,679 households (56% of the original target households) have implemented at least one additional measure to reduce livelihood exposure to climate change.	32 communes have been identified as target villages for the project phase 249,454 famer (129,108 women) benefit from the project.



### III. Follow-up actions:

Evaluation Recommendation	Key Action(s)	Responsible Unit(s)	Time Frame	Tracking*	
				Status**	Comments
<p><b>Recommendation 1:</b></p> <p><b>Tracking on operational guideline on mainstreaming climate change and disaster risk management into sub-national planning.</b> Yet reviewing the translation the Khmer version after the operational guideline has been finalize and endorsed by Core Group.</p>	<ul style="list-style-type: none"> <li>- Review Khmer version and consult with NCDDS team and other stakeholder</li> <li>- Discus with Policy Analysing and Development Division to insert the operational guideline into new sub-national planning policy</li> </ul>	NCDDS	2015	Completed	Follow up with UNDP on translation of VRA manual
<p><b>Recommendation 2:</b></p> <p><b>Producing an effective advocacy video production.</b> A short animation will be produce to advocate the commune council and local community to be concern on mainstreaming climate change into sub-national planning and policy.</p>	<ul style="list-style-type: none"> <li>- Closely work with video producer</li> <li>- Consulting with commune council and relevant stakeholder on draft advocacy video</li> </ul>	UNDP and NCDDS	2015	Initiated	In the process of negotiation
<p><b>Recommendation 3:</b></p> <p><b>Core Group initiate new idea to support climate change community base adaptation.</b> Core Group member will conducting an international study visit to a good practice country on climate change community base adaptation.</p>	<ul style="list-style-type: none"> <li>- Requesting to Core Group member for back office report.</li> <li>- Next action of the core group should be taken individually and inter-ministerial.</li> <li>- Developing Core Group work plan 2015.</li> </ul>	NCDDS and Core Group member	2015	Initiated	

<p><b>Recommendation 4:</b></p> <p><b>Improve monitoring and evaluation commune investment project.</b></p> <p>The commune investment project going to start contracting at the beginning of January 2015. To insure the project could end by February as planned. And evaluate impact result of the climate change adaptation project on mainstreaming climate change into sub-national planning.</p>	<ul style="list-style-type: none"> <li>- More often conducting filed visit to project site. Recommends to commune, district, or provincial administration to action.</li> <li>- Proposing to commune councils for strategic to insure sustainable project usage.</li> <li>- Partnership with UNDP to evaluate the project impact result from commune investment.</li> </ul>	<p>NCDDS and UNDP</p>	<p>2015</p>	<p>Complete</p>	
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\* The implementation status is tracked in the ERC.

\*\* Status: (Not Initiated, **Initiated**, **Completed**, and **No Longer Applicable**)

## IV. Project implementation challenges

### a. *New Identified Risks and Issues:*

- Unexpected risk happen in some project site that would delayed contraction plan due to raining and contractor move out of the project field. That is effect to project implementation and also effect to project delivery. If project is not be reach 100% completed, TSC could not be pay.
- Soon after provincial project officer end contract in April, the project responsibility would transfer to provincial administration. That is require a strong commitment of provincial administrative and focal officer enclose monitoring the commune investment project. While the budget is limited.

### b. *Risks and actions*

To ensure the project properly close and producing an expected result. Following action is required:

- Base on the commune project implementation manual, commune council would release official call to contactor. If they are reject back to the field, the contractor will be state in black list.
- Provincial project officer request to train focal person to ensure his/her has enough capacity to monitoring and implementing the project.

### c. *Updated project issues and actions*

## IV. Financial status and utilization

**Table 1: Quarter 4 expenditure by project output [01/10/2014 – 31/12/2014]**

OUTPUT	BUDGET PLAN [Q4]	EXPENDITURE [Q4]	BALANCE	DELIVERY (%)
<b>Output 1:</b> Increased the support and ownership of sub-national administrations on the integration of climate change into district and commune investment programmes.	42744.00	3,466.00	39,278.00	8.10
<b>Output 2:</b> Enhanced ownership and readiness of NCDD-S for the implementation of the operational guideline to scale up the integration of climate change adaptations into sub-national planning process.	31,500.00	00	31,500.00	0.00
<b>Output 3:</b> Project management	17,810.00	14,297.01	212.99	98.53
<b>TOTAL</b>	<b>57,254.00</b>	<b>17,763.01</b>	<b>39,490.99</b>	<b>31.02</b>

**Table 3: Annual Expenditure by Project output**

ACTIVITY	BUDGET PLAN G2-2014	CUMULATIVE EXPENDITURE 2014	BALANCE	DELIVERY (%)
<b>Output 1:</b> Increased the support and ownership of sub-national administrations on the integration of climate change into district and commune investment programmes.				
<b>Output 2:</b> Enhanced ownership and readiness of NCDD-S for the implementation of the operational guideline to scale up the integration of climate change adaptations into sub-				

national planning process.				
<b>Output 3: Project management</b>				
<b>TOTAL</b>				

**Table 4: Budget Balances**

<b>OUTPUT</b>	<b>Total Budget</b>	<b>Received Budget</b>	<b>Expenditure</b>	<b>Balance</b>
<b>Output 1:</b> Increased the support and ownership of sub-national administrations on the integration of climate change into district and commune investment programmes.				
<b>Output 2:</b> Enhanced ownership and readiness of NCDD-S for the implementation of the operational guideline to scale up the integration of climate change adaptations into sub-national planning process.				
<b>Output 3: Project management</b>				
<b>TOTAL</b>				

09 April 2015

**National Project Manager**

**Ny Kimsan**